

## **Register of Relevant Duties and Relevant Interests Suncorp Portfolio Services Limited (SPSL)**

### **Register of relevant duties and relevant interests of Suncorp Portfolio Services Limited (SPSL) (RSE Licensee) and its Responsible Persons**

SPSL is the trustee of the Suncorp Master Trust and the Suncorp Pooled Superannuation Trust. The composition of the Board of SPSL includes three independent, non-executive directors. SPSL offers products and services to its beneficiaries that are provided by group entities within the Suncorp Group, as well as other external providers. SPSL is part of the Banking & Wealth business function of the Suncorp Group.

#### **Relevant duties**

A “duty” for the purpose of the Register refers to a legal duty owed by a Responsible Person of SPSL to another person or entity (including an RSE Licensee, a related party entity or an external entity).

A duty of a Responsible Person is relevant where the duty:

- could, or could reasonably be perceived to, impair the ability of the Responsible Person to properly discharge his/her duty to the members of SPSL. This could occur where the competing duty could impair the Responsible Person’s ability to exercise independent judgement or to devote the necessary time and attention required to discharge the duty to the members of SPSL.

#### **Relevant interests**

- An “interest” for the purpose of the Register, refers to an interest, gift, payment or benefit (whether pecuniary or non-pecuniary) held by a Responsible Person of SPSL, or an associate of that person, which is, or could reasonably be perceived to be, an interest which has the potential to influence the Responsible Person to act in a manner which is inconsistent with the best interests of the members of SPSL.

In accordance with the SPSL Conflicts of Interest Policy & Conflict Management Framework, SPSL considers that interests with a value under the threshold Of \$300 are not sufficiently material to reasonably be considered to have the potential impact described above, and, therefore, are not ‘relevant’ for the purposes of disclosure on the Register. Notwithstanding this, where interests are regularly received (whether in any given month or over a longer period of time), then the interests must be disclosed and steps must be taken to ensure that any Conflict is managed and appropriately recorded.

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Name	Position	Responsibilities	Relevant Duties	Relevant Interests
Michelene Collopy	Non-Executive Director, SPSL appointed 16/09/2019  Chairman, SPSL  Member, Board Audit & Risk Committee, SPSL  Member, Trustee Investment Committee, SPSL	Non-Executive Director, SPSL	Director of – <ul style="list-style-type: none"> <li>• St Ignatius College Riverview Limited</li> <li>• NGS Super Pty Limited</li> <li>• Household Capital Pty Limited</li> </ul> Other positions held – <ul style="list-style-type: none"> <li>• University of Technology, Sydney, Pro-Chancellor</li> <li>• University of Technology, Sydney, Chair, Audit &amp; Risk Committee</li> <li>• University of Technology, Sydney, Member, Finance Committee</li> <li>• St Ignatius College Riverview Limited, Chair, Bursary Committee</li> <li>• Beta Shares Limited, Member, Compliance Committee</li> </ul>	
Kathy Grigg	Non-Executive Director, SPSL appointed 27/7/2015  Chairman, Board Audit & Risk Committee, SPSL	Non-Executive Director, SPSL	Director of – <ul style="list-style-type: none"> <li>• GrainCorp Limited</li> <li>• Agricultural Innovation Australia Limited</li> </ul> Other positions held – <ul style="list-style-type: none"> <li>• Euroa Health, Member, Finance Committee</li> <li>• ACCC, Member, Audit Committee</li> </ul>	Shareholder of Suncorp Group Limited shares. All held in a self-managed superannuation fund.
Henry Capra	Non-Executive Director, SPSL, appointed 5/3/2016	Non-Executive Director, SPSL	Director of – <ul style="list-style-type: none"> <li>• CBHS Corporate Health Pty Limited</li> </ul> Other positions held –	

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	Member, Board Audit & Risk Committee, SPSL  Chairman, Trustee Investment Committee, SPSL		<ul style="list-style-type: none"> <li>• CBHS Corporate Health Pty Limited, Non-Executive Chair</li> <li>• Multicultural NSW, Member, Audit &amp; Risk Committee</li> <li>• Onni Pty Limited, Chair, Advisory Board</li> </ul>	
Marnie White	Company Secretary, SPSL, appointed 15/4/2019	Company Secretary, SPSL	Secretary of other Suncorp Group subsidiaries.	Member of the following Superannuation Funds: <ul style="list-style-type: none"> <li>• Energy Super</li> </ul> Suncorp Group Limited shareholder  EM Company Secretary, Company Secretariat, Legal & Secretariat, Suncorp Group *
Nicholas Buchanan	Auditor, SPSL, appointed 24/11/2020	Auditor, SPSL, Suncorp Master Trust and Suncorp Pooled Superannuation Trust		
Christine Traquair	Responsible Person, SPSL, appointed 10/6/2016	Responsible Person, Chief Risk Officer Banking & Wealth	Director of – <ul style="list-style-type: none"> <li>• Terri Scheer Insurance Pty Ltd *</li> </ul>	Member of the following Superannuation Funds: <ul style="list-style-type: none"> <li>• Suncorp Employee Super Plan</li> </ul> Suncorp Group Limited shareholder  CRO, Banking & Wealth, Suncorp Group *
Maurice Pinto	Responsible Person, SPSL, appointed 26/4/2018	Responsible Person, Executive Manager Office of the Superannuation Trustee		Member of the following Superannuation Funds: <ul style="list-style-type: none"> <li>• Suncorp Employee Super Plan</li> </ul> Suncorp Group Limited shareholder

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Name	Position	Responsibilities	Relevant Duties	Relevant Interests
	Chairman, Trustee Claims Review Committee, SPSL			
Erin Strang	Responsible Person, SPSL, appointed 1/7/2019	Responsible Person, Chief Financial Officer Banking & Wealth	Director of – <ul style="list-style-type: none"> <li>• Suncorp Metway Advances Corporation Pty Ltd *</li> <li>• SME Management Pty Limited *</li> <li>• SPDEF#2 Pty Ltd *</li> <li>• National Finance Network Pty Limited *</li> <li>• QIDC Pty Limited *</li> <li>• Suncorp Finance Pty Limited *</li> <li>• SSSL Pty Ltd *</li> </ul>	Suncorp Group Limited shareholder CFO, Banking & Wealth, Suncorp Group *
Shailendra Singh	Responsible Person, SPSL, appointed 13/8/2019	Responsible Person, Executive General Manager, Wealth	Director of – <ul style="list-style-type: none"> <li>• Suncorp Financial Services Pty Ltd *</li> <li>• Suncorp Wealth Services Pty Ltd *</li> </ul>	EGM Wealth, Suncorp Group *
Clive van Horen	Responsible Person, SPSL, appointed 4/8/2020	Responsible Person, CEO Banking & Wealth	Director of – <ul style="list-style-type: none"> <li>• Suncorp Financial Services Pty Ltd *</li> </ul>	CEO, Banking & Wealth, Suncorp Group *
Bryan Ingram	Responsible Person, SPSL, appointed 14/8/2020	Responsible Person, Head Office of the Superannuation Trustee		Member of the following Superannuation Funds: <ul style="list-style-type: none"> <li>• Suncorp Employee Super Plan</li> </ul>

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Name	Position	Responsibilities	Relevant Duties	Relevant Interests
Sian Mueller	Responsible Person, SPSL appointed 19/10/2020	Responsible Person, CFO Finance Services		Member of the following Superannuation Funds: <ul style="list-style-type: none"> <li>• Suncorp Employee Super Plan</li> </ul> CFO Finance Services, Suncorp Group *

\* Suncorp Portfolio Services Limited, Suncorp-Metway Limited, Suncorp Staff Pty Ltd, Suncorp Corporate Services Pty Ltd, Suncorp Funds Pty Ltd, Suncorp Metway Advances Corporation Pty Ltd, SME Management Pty Limited, SPDEF#2 Pty Ltd, National Finance Network Pty Limited, QIDC Pty Limited, Suncorp Finance Pty Limited, SSSL Pty Ltd, Terri Scheer Insurance Pty Ltd, Suncorp Financial Services Pty Ltd and Suncorp Wealth Services Pty Ltd are related bodies corporate and part of the Suncorp Group.

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### SPSL Conflicts Register

The duty that persons listed in this Register have to other companies/organisations are relevant to the duty the person has to SPSL for the reasons outlined below. The control measures in the last column are employed to manage the conflict.

REASON FOR RELEVANCE	COMPANY OR ORGANISATION	CONTROLS
<p>These companies are ongoing <b>service providers to SPSL</b>. In addition to their duties to SPSL, the people listed also have a duty to this company.</p> <p>There is the potential that the interests of SPSL and its beneficiaries may not be in alignment with the interests of these companies and for the persons listed in this Register to influence the performance or assessment of this service provider.</p>	<ul style="list-style-type: none"> <li>• <b>Suncorp Corporate Services Pty Ltd</b></li> <li>• <b>Suncorp Staff Pty Ltd</b></li> </ul> <p>Provision of staff, business and investment services: Clive van Horen, Christine Traquair, Erin Strang, Shailendra Singh, Sian Mueller, Marnie White</p>	<p><b>One or more of the following:</b></p> <ul style="list-style-type: none"> <li>• Abstaining from voting on the matter;</li> <li>• Restricted access to the relevant Board paper;</li> <li>• Absence from the Board meeting for the relevant agenda item</li> </ul> <p><b>In addition to:</b></p> <ul style="list-style-type: none"> <li>• Standing conflicts agenda item for all Board and Committee meetings</li> <li>• Standard conflicts section on Board and Committee Submission Template documents</li> <li>• Annual training of Responsible Persons</li> </ul>
<p>These companies are <b>product providers to SPSL</b>. In addition to their duties to SPSL, the people listed also have a duty to this company.</p> <p>There is the potential that the interests of SPSL and its beneficiaries may not be in alignment with the interests of these companies and for the persons listed in this Register to influence the performance or assessment of these product providers.</p>	<ul style="list-style-type: none"> <li>• <b>Suncorp Corporate Services Pty Ltd</b></li> <li>• <b>Suncorp Funds Pty Ltd</b></li> <li>• <b>Suncorp-Metway Limited</b></li> </ul> <p>Suncorp investment pools and banking products: Clive van Horen, Christine Traquair, Erin Strang, Shailendra Singh, Sian Mueller, Marnie White</p>	<p><b>One or more of the following:</b></p> <ul style="list-style-type: none"> <li>• Abstaining from voting on the matter;</li> <li>• Restricted access to the relevant Board paper;</li> <li>• Absence from the Board meeting for the relevant agenda item</li> </ul> <p><b>In addition to:</b></p> <ul style="list-style-type: none"> <li>• Standing conflicts agenda item for all Board and Committee meetings</li> <li>• Standard conflicts section on Board and Committee Submission Template documents</li> <li>• Annual training of Responsible Persons</li> </ul>