Foreign Tax Liability Self Certification Declaration – Entities

Instructions

- This form is only to be completed by entities.
- One self certification declaration per entity. If the account holder is not an entity please complete the "Foreign Tax Liability Self Certification Declaration – Individual" form available from our Foreign Tax Liability website (https://www.suncorp.com.au/banking/help-support/foreign-account-tax-compliance-act.html).
- For frequently asked questions regarding Foreign Tax Liability, please visit our Foreign Tax Liability website (https://www.suncorp.com.au/banking/help-support/foreign-account-tax-compliance-act.html) or contact Suncorp Bank on 13 11 55
- Should you require further information, please refer to the IRS website (FATCA) or the OECD website (CRS) or the ATO website.
- This form is to be completed and signed in section 6 by at least one company director, company secretary or account signatory.
- Return completed forms to:
  a. Mail: Suncorp-Metway Ltd, IPC 4BK230 Reply Paid 1453, Brisbane QLD 4001 (note: no postage stamp is required);
  b. Your local Suncorp Bank Store. Store staff: Please forward completed form to IPC 4BK230; or
  c. Scan and email: fatca@suncorp.com.au

Customer Number or Account Number: Entity Name:

Foreign Tax Status

Do any of the following circumstances apply: ☐ Yes ☐ No

- any applicant is a U.S. citizen, resident, partnership, corporation or trust, or otherwise governed by US tax laws; or
- any applicant is a resident of another foreign country for the purpose of the tax laws of that foreign country; or
- any applicant has no residence for tax purposes; or
- a U.S. citizen, U.S. resident or a resident of another foreign country for tax purposes exercises control over any account holder or applicant.

☐ No ➤ Proceed to Section 6 ☐ Yes ➤ Proceed to Section 1

Section 1 – US Status

a. Is the entity organised or incorporated in the US?

☐ Yes ➤ Proceed to (b) ☐ No ➤ Proceed to Section 2

b. **Only to be completed if "YES" answered above.**
Is the entity a U S Reportable Account for FATCA purposes?

☐ Yes ➤ Please provide US Taxpayer Identification Number (TIN) ☐ No ➤ Proceed to Section 3

➤ Proceed to Section 3

Section 2 – Entity Classification

(a)

☐ Entity operating as a Charity/Registered charity
☐ Registered Superannuation Funds (including SMSFs and Retirement plans)
☐ Government or Government Agency
☐ Listed Company/Subsidiary of a Listed Company

If you have ticked a classification above please proceed to section 3

(b)

☐ Unlisted Company
☐ Bank or Other Non-Banking Financial Institution
☐ Trust
☐ Partnership
☐ Investment entity (Listed/Unlisted)
☐ Association

Describe below e.g. Family Trust, Unit Trust, Managed Investment Trust

Describe below e.g. Sporting Association

If you have ticked a classification above please proceed to FATCA Status section below

Please provide FATCA status –

- ☐ Non Participating FFI
- ☐ Participating FFI
  Please enter your GIIN below
  GIIN
- ☐ Deemed Compliant FFI
  Please enter your GIIN below
  GIIN
- ☐ Exempt Beneficial Owner
- ☐ Owner Documented FI with Specified US Owners
- ☐ Active NFFE
- ☐ Direct Reporting NFFE
- ☐ Excepted FFI

Note if unsure please refer to: Foreign Tax Liability website (https://www.suncorp.com.au/banking/help-support/foreign-account-tax-compliance-act.html).

If you have ticked a status above please proceed to section 3. If the appropriate status is not available (eg. your entity is a Passive NFFE) please proceed to section 3 and also complete Section 5 for each of the Entity's Controlling Persons who are U.S. citizens or residents for tax purposes.

Section 3 – CRS Declaration of Tax Residency

Do any of the following circumstances apply:

Section 1 or 2 of this form have been completed with respect to FATCA; or

— any applicant is a resident of another foreign country for the purpose of the tax laws of that foreign country; or

— any applicant has no residence for tax purposes.

☐ Yes ➤ Complete the table below then Proceed to Section 4 ☐ No ➤ Proceed to Section 4

If the Account Holder is not tax resident in any country/jurisdiction (e.g. because it is fiscally transparent), please indicate that in the table below and provide its place of effective management or jurisdiction in which its principal office is located.

Country/Jurisdiction of Tax Residence	Taxpayer Identification Number (TIN) or Equivalent	If no TIN available enter Reason A, B or C

If a TIN is not available, please provide the appropriate reason A, B or C where indicated above:

Reason A - The country/jurisdiction where the Account Holder is resident for tax purposes does not issue TINs to its residents.

Reason B - The Account Holder is otherwise unable to obtain a TIN or equivalent number.

Reason C - No TIN is required. (Note: Only select this reason if the domestic law of the relevant jurisdiction does not require the TIN to be disclosed.)

For frequently asked questions regarding Foreign Tax Liability, please visit our Foreign Tax Liability website (https://www.suncorp.com.au/banking/help-support/foreign-account-tax-compliance-act.html).

Note: US Tax Liable customers do not need to re-enter US TIN details already specified in Section 1(b).

Section 4 – Entity's CRS Classification (the information provided in this section is for CRS, please note your CRS classification may differ from your FATCA classification in Section 2):

1. (a) Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution
   Note: if this box is ticked, please complete the Controlling Person Self-Certification in Section 5 for each of the Entity's Controlling Persons ☐
   (b) Financial Institution - Depository Institution, Custodial Institution, Specified Insurance Company or Other Investment Entity ☐
   (c) Active NFE - a corporation the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such corporation

If you have ticked (c), please provide the name of the established securities market on which the corporation is regularly traded: ☐

If you are a Related Entity of a regularly traded corporation, please provide the name of the regularly traded corporation that the Entity in (c) is a Related Entity of:

(d) Active NFE – a Government Entity or Central Bank ☐

(e) Active NFE – an International Organisation ☐

(f) Active NFE – other than (c)-(e) (for example a start-up NFE or a non-profit NFE) ☐

(g) Passive NFE (if this box is ticked, please complete the Controlling Person Self-Certification in Section 5 for each of the Entity's Controlling Persons) ☐

Section 5 – Controlling Persons

Controlling Persons - 1st:

Controlling Person Status _____ - refer below

Capacity/Position _____

Surname: _____

Title: _____

First Name: _____

Middle Name(s): _____

Date of Birth: D D / M M / Y Y Y Y

Place of Birth (City/Town): _____

Country of Birth: _____

Permanent Residential Address:

Street: _____

Town: _____

Postal Code: _____

Street: _____

Country: _____

Country/Jurisdiction of Tax Residence	Taxpayer Identification Number (TIN) or Equivalent	If no TIN available enter Reason A, B or C

If a TIN is not available, please provide the appropriate reason **A**, **B** or **C** where indicated above:

Reason A - The country/jurisdiction where the Account Holder is resident for tax purposes does not issue TINs to its residents.
Reason B - The Account Holder is otherwise unable to obtain a TIN or equivalent number.
Reason C - No TIN is required. (Note: Only select this reason if the domestic law of the relevant jurisdiction does not require the TIN to be disclosed.)

For frequently asked questions regarding Foreign Tax Liability, please visit our Foreign Tax Liability website (https://www.suncorp.com.au/banking/help-support/foreign-account-tax-compliance-act.html).

Controlling Persons - 2nd:

Controlling Person Status _____ - refer below

Capacity/Position _____

Surname: _____

Title: _____

First Name: _____

Middle Name(s): _____

Date of Birth: D D / M M / Y Y Y Y

Place of Birth (City/Town): _____

Country of Birth: _____

Permanent Residential Address:

Street: _____

Town: _____

Postal Code: _____

Street: _____

Country: _____

Country/Jurisdiction of Tax Residence	Taxpayer Identification Number (TIN) or Equivalent	If no TIN available enter Reason A, B or C

If a TIN is not available, please provide the appropriate reason **A**, **B** or **C** where indicated above:

Reason A - The country/jurisdiction where the Account Holder is resident for tax purposes does not issue TINs to its residents.
Reason B - The Account Holder is otherwise unable to obtain a TIN or equivalent number.
Reason C - No TIN is required. (Note: Only select this reason if the domestic law of the relevant jurisdiction does not require the TIN to be disclosed.)

For frequently asked questions regarding Foreign Tax Liability, please visit our Foreign Tax Liability website (https://www.suncorp.com.au/banking/help-support/foreign-account-tax-compliance-act.html).

Section 5 – Controlling Persons Cont.

Controlling Person Status

a. Controlling Person of a legal person – control by ownership
b. Controlling Person of a legal person – control by other means
c. Controlling Person of a legal person – senior managing official
d. Controlling Person of a trust – settlor
e. Controlling Person of a trust – trustee
f. Controlling Person of a trust – protector
g. Controlling Person of a trust – beneficiary
h. Controlling Person of a trust – other
i. Controlling Person of a legal arrangement (non-trust) – settlor-equivalent
j. Controlling Person of a legal arrangement (non-trust) – trustee-equivalent
k. Controlling Person of a legal arrangement (non-trust) – protector-equivalent
l. Controlling Person of a legal arrangement (non-trust) – beneficiary-equivalent
m. Controlling Person of a legal arrangement (non-trust) – other-equivalent

Section 6 – Declaration and Undertakings:

I/We declare (as an authorised signatory of the Entity) that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.

I/We undertake to advise the recipient immediately and provide an updated Self-Certification Declaration form where any change in circumstances occurs which causes any of the information contained in this form to be incorrect or incomplete.

I/We acknowledge that the information contained in this form and information regarding the Account Holder, Controlling Persons and any Reportable Account(s) may be reported to the tax authorities of the country/jurisdiction in which this account(s) is/are maintained and exchanged with tax authorities of another country/jurisdiction or countries/jurisdictions in which the Account Holder or Controlling Person (as applicable) may be tax resident pursuant to intergovernmental agreements to exchange financial account information.

I/We will update Suncorp Bank immediately if my/our tax status for the purposes of FATCA and/or the Common Reporting Standard is to change in the future.

Applicant 1

Print Name

Capacity in which declaration is made

Authorised Signature

Date D D / M M / Y Y Y Y

Applicant 2

Print Name

Capacity in which declaration is made

Authorised Signature

Date D D / M M / Y Y Y Y

Suncorp Bank will only collect and share your personal information in accordance with its Privacy Policy. The Privacy Policy can be accessed via our website: http://www.suncorpgroup.com.au/about-us/governance/GroupPrivacyPolicy or contacting us on 13 11 55.

Internal Use Only

Please forward completed forms to IPC 4BK230

Store Name

Date Received D D / M M / Y Y Y Y

User Name and Number